



## RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 10/017, 083  
Source: OIPF  
Date Processed by STIC: 1/3/2002

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.

PATENTIN 2.1 e-mail help: [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or phone 703-306-4119 (R. Wax)

PATENTIN 3.0 e-mail help: [patin3help@uspto.gov](mailto:patin3help@uspto.gov) or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.1 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE: SEE BELOW FOR ADDRESS:

<http://www.uspto.gov/web/offices/pac/checker>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom, including:

1. EFS-Bio (<http://www.uspto.gov/ebc/efs/downloads/documents.htm>), EFS Submission User Manual - ePAVE
2. U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
3. Hand Carry directly to:  
U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7<sup>th</sup> Floor, Examiner Name,  
Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202  
Or  
U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two,  
2011 South Clark Place, Arlington, VA 22202
4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office,  
Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Raw Sequence Listing Error Summary

<u>ERROR DETECTED</u>	<u>SUGGESTED CORRECTION</u>	<u>SERIAL NUMBER:</u> <u>10/017,083</u>
<b>ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE</b>		
1 <input type="checkbox"/> Wrapped Nucleic Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."	
2 <input type="checkbox"/> Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.	
3 <input type="checkbox"/> Misaligned Amino Numbering	The numbering under each 5 <sup>th</sup> amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.	
4 <input type="checkbox"/> Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.	
5 <input type="checkbox"/> Variable Length	Sequence(s) _____ contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.	
6 <input type="checkbox"/> PatentIn 2.0 "bug"	A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) _____. Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.	
7 <input type="checkbox"/> Skipped Sequences (OLD RULES)	Sequence(s) _____ missing. If intentional, please insert the following lines for each skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped	
	Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.	
8 <input type="checkbox"/> Skipped Sequences (NEW RULES)	Sequence(s) _____ missing. If Intentional, please insert the following lines for each skipped sequence. <210> sequence id number <400> sequence id number 000	
9 <input type="checkbox"/> Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing. Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present. In <220> to <223> section, please explain location of n or Xaa; and which residue n or Xaa represents.	
10 <input type="checkbox"/> Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence	
11 <input type="checkbox"/> Use of <220>	Sequence(s) <u>157</u> missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)	
12 <input type="checkbox"/> PatentIn 2.0 "bug"	Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.	
13 <input type="checkbox"/> Misuse of n	n can only be used to represent a single nucleotide in a nucleic acid sequence. N is not used to represent any value not specifically a nucleotide.	

OIPE

RAW SEQUENCE LISTING  
PATENT APPLICATION: US/10/017,083

DATE: 01/03/2002  
TIME: 16:01:27

Input Set : A:\Seq\_Listing\_for\_P2630P1C67.wpd  
Output Set: N:\CRF3\01032002\J017083.raw

3 <110> APPLICANT: Ashkenazi, Avi  
 4 Baker Kevin P.  
 5 Botstein, David  
 6 Desnoyers, Luc  
 7 Eaton, Dan  
 8 Ferrara, Napoleon  
 9 Filvaroff, Ellen  
 10 Fong, Sherman  
 11 Gao, Wei-Qiang  
 12 Gerber, Hanspeter  
 13 Gerritsen, Mary E.  
 14 Goddard, Audrey  
 15 Godowski, Paul J.  
 16 Grimaldi, J. Christopher  
 17 Gurney, Austin L.  
 18 Hillan, Kenneth J  
 19 Kljavin, Ivar J.  
 20 Kuo, Sophia S.  
 21 Napier, Mary A.  
 22 Pan, James;  
 23 Paoni, Nicholas F.  
 24 Roy, Margaret Ann  
 25 Shelton, David L.  
 26 Stewart, Timothy A.  
 27 Tumas, Daniel  
 28 Williams, P. Mickey  
 29 Wood, William I.  
 31 <120> TITLE OF INVENTION: Secreted and Transmembrane Polypeptides and Nucleic  
 32 Acids Encoding the Same  
 34 <130> FILE REFERENCE: P2630P1C67  
 36 <140> CURRENT APPLICATION NUMBER: US/10/017,083  
 36 <141> CURRENT FILING DATE: 2001-10-24  
 36 <150> PRIOR APPLICATION NUMBER: 09/918585  
 37 <151> PRIOR FILING DATE: 2001-07-30  
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 40 <151> PRIOR FILING DATE: 1997-10-17  
 42 <150> PRIOR APPLICATION NUMBER: 60/064249  
 43 <151> PRIOR FILING DATE: 1997-11-03  
 45 <150> PRIOR APPLICATION NUMBER: 60/065311  
 46 <151> PRIOR FILING DATE: 1997-11-13  
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 52 <151> PRIOR FILING DATE: 1998-03-10  
 54 <150> PRIOR APPLICATION NUMBER: 60/077632  
 55 <151> PRIOR FILING DATE: 1998-03-11  
 57 <150> PRIOR APPLICATION NUMBER: 60/077641

Does Not Comply  
Corrected Diskette Needed

ppr 4,6

QF

RAW SEQUENCE LISTING  
PATENT APPLICATION: US/10/017,083

DATE: 01/03/2002  
TIME: 16:01:27

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RAW SEQUENCE LISTING  
PATENT APPLICATION: US/10/017,083

DATE: 01/03/2002  
TIME: 16:01:27

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RAW SEQUENCE LISTING  
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TIME: 16:01:27

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*please correct  
subsequent  
date errors*

RAW SEQUENCE LISTING  
PATENT APPLICATION: US/10/017,083

DATE: 01/03/2002  
TIME: 16:01:27

Input Set : A:\Seq\_Listing\_for\_P2630P1C67.wpd  
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10/07,083

6

<210> 157

<211> 412

<212> PBT

<213> Artificial

see item 11 on Error Summary Sheet

<400> 157

Use of n and/or Xaa has been detected in the Sequence Listing.

→ Review the Sequence Listing to insure a corresponding explanation is presented in the <220> to <223> fields of each sequence using n or Xaa.

VERIFICATION SUMMARY  
PATENT APPLICATION: US/10/017,083

DATE: 01/03/2002  
TIME: 16:01:28

Input Set : A:\Seq\_Listing\_for\_P2630P1C67.wpd  
Output Set: N:\CRF3\01032002\J017083.raw

L:36 M:270 C: Current Application Number differs, Replaced Current Application No  
L:36 M:271 C: Current Filing Date differs, Replaced Current Filing Date  
L:244 M:256 W: Invalid Numeric Header Field, Wrong Prior FILING DATE:YYYY-MM-DD  
L:370 M:256 W: Invalid Numeric Header Field, Wrong Prior FILING DATE:YYYY-MM-DD  
L:445 M:256 W: Invalid Numeric Header Field, Wrong Prior FILING DATE:YYYY-MM-DD  
L:978 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:8  
L:980 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:8  
L:992 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:8  
L:1012 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:9  
L:1014 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:9  
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L:1026 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:9  
L:1041 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:10  
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L:5920 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:144  
L:6192 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:151  
L:6196 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:151  
L:6223 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:152  
L:6225 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:152

**VERIFICATION SUMMARY**

PATENT APPLICATION: US/10/017,083

DATE: 01/03/2002

TIME: 16:01:28

Input Set : A:\Seq\_Listing\_for\_P2630P1C67.wpd  
Output Set: N:\CRF3\01032002\J017083.raw

L:6387 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:157  
L:6389 M:258 W: Mandatory Feature missing, <220> FEATURE:  
L:6389 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:  
L:7713 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:191  
L:7719 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:191  
L:7729 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:191  
L:8724 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:215  
L:10653 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:265  
L:10655 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:265  
L:10657 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:265